| UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK  |  |  |  |  |
|---|--|--|--|--|
| In re   | x<br>:<br>: Chapter 11 Case No.                      |  |  |  |
| LEHMAN BROTHERS HOLDINGS INC., et al.,  | : 08-13555 (JMP)                                     |  |  |  |
| Debtors.  | : (Jointly Administered) :                           |  |  |  |
|   | :<br>x Ref. Docket Nos. 15041, 15121<br>15125, 15126 |  |  |  |
| AFFIDAVIT OF  | <u>SERVICE</u>                                       |  |  |  |
| STATE OF NEW YORK )   |  |  |  |  |
| COUNTY OF NEW YORK )  |  |  |  |  |
| LAUREN RODRIGUEZ, being duly sworn, deposes and   | says:  |  |  |  |
| 1. I am employed by Epiq Bankruptcy Solutions, LLC, I New York 10017. I am over the age of eighteen year captioned action.  |  |  |  |  |
| 2. On March 21, 2011, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B. |  |  |  |  |
| 3. All envelopes utilized in the service of the foregoing "LEGAL DOCUMENTS ENCLOSED. PLEASE DI ADDRESSEE, PRESIDENT OR LEGAL DEPARTI  | RECT TO ATTENTION OF                                 |  |  |  |
|   | /s/ Lauren Rodriguez Lauren Rodriguez                |  |  |  |
| Sworn to before me this   |  |  |  |  |
| 22 <sup>nd</sup> day of March, 2011   |  |  |  |  |
| /s/ Panagiota Manatakis Notary Public, State of New York  |  |  |  |  |
| No. 01MA6221096   |  |  |  |  |
| Qualified in Queens County  |  |  |  |  |
| Commission Expires April 26, 2014   |  |  |  |  |

EXHIBIT A

08-13555-mg Doc 15245 Filed 03/22/11 Entered 03/22/11 17:03:41 Main Document Southern District of New York Pg 3 of 5

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor:

BANK VONTOBEL AG

ATTN: DR. RUDOLF REINHARD MUELLER

DREIKONIGSTRASSE 37

CH-8022 ZURICH, SWITZERLAND ZURICH CH-8022 SWITZERLAND

Additional:

BANK VONTOBEL AG FRED FLURY DREIKONIGSTRASSE 37 ZURICH CH-8002 SWITERLAND

Transferee: BANK JULIUS BAR

C/O BANK JULIUS BAER & CO. LTD LEGAL PRODUCTS & SERVICES

P.O. BOX

ZURICH CH-8010 SWITZERLAND

Your transfer of claim # 19459 is defective for the reason(s) checked below:

Amount On Transfer Agreement Does Not Match

Docket Number 15041

Date 03/15/11

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on March 21, 2011.

EXHIBIT B

| Н  | 08-13555-mg   | Doc 15245                       | Filed 03/22/11 Entered 03/22/11 17:03:41<br>Pg 5 of 5 | Main Document                  |
|--|---|---------------------------------|---|--------------------------------|
| PAGE:  |   |                                 |   |                                |
| LEHMAN BROTHERS HOLDING INC.<br>CREDITOR LISTING | Address  C/O BANK JULIUS BAER & CO. LTD LEGAL PRODUCTS & SERVICES P.O. BOX ZURICH CH-8010 SWITZERLAND ATTW: DR. RUDLE REINHARD MUELLER DREIKONIGSTRASES 37 CH-8022 ZURICH, SWITZERLAND ZURICH CH-8022 SWITZERLAND BINGHAM MCCUTCHEN LLD 2020 K STREET, NW WASHINGTON DC 2006 FRED FLURY DREIKONIGSTRASSE 37 ZURICH CH-8002 SWITZERLAND CELESTINO AMORE, MANAGING DIRECTOR 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM UNITS 2201-07, 22/F, COSCO TOWER NOS. 181-3 QUEEN'S ROAD CENTRAL HONG KONG UNITS 2201-07, 22/F, COSCO TOWER, NOS. 181-3 QUEEN'S ROAD CENTRAL HONG KONG UNITS 2201-07 22/F COSCO TOWER, NOS. 181-3 QUEEN'S ROAD CENTRAL HONG KONG | σ                               |   | EPIQ BANKRUPTCY SOLUTIONS, LLC |
| TIME: 10:24:40<br>DATE: 03/21/11                 | Name BANK JULIUS BAR BANK VONTOBEL AG BANK VONTOBEL AG BANK VONTOBEL AG ILLIQUIDX LTD MEGA GLOBAL ASSET MANAGEMENT CO. LTD. MEGA GLOBAL ASSET MANAGEMENT CO. LTD. MEGA GLOBAL ASSET MANAGEMENT CO. LTD.   | Total Number of Records Printed |   |                                |